

**FFY 2007**  
**Local Assistance Delivery**  
**Formula OA Funds**  
**Based on CBARS/FMIS Transactions**  
**as of September 30, 2007**  
**(FFY 2006 - 07 Close Out)**

Region	Actual FFY 2007 OA <sup>1</sup>	Adjustment to FFY 2007 OA for FFY 2006 Over - Under Delivery <sup>2</sup>	OA Payback from State for FFY 2007 (\$50,000,000 Payback) <sup>3</sup>	FFY 2006 OA Lost to State (Under Delivered) (\$2,390,693)	Contribution for NEPA FY 2006/07	NEPA Funding Offset	Adjusted Actual FFY 2007 OA <sup>4</sup>	FFY 2007 Obligations as of 09/30/07	Percent Adjusted Actual FFY 2007 OA Delivered	Balance of FFY 2007 Adjusted Actual OA <sup>5</sup>	FFY 2007 August Redistribution (\$45,227,586) <sup>9</sup>	Balance Due of FFY 2007 OA as of 9/30/2007 (Numbers in Parenthesis are \$ owed) <sup>10</sup>
Butte	4,204,852	(1,073,357)	200,761	0			3,332,256	2,800,984	84.1%	531,272	-	531,272
Fresno	17,235,939	(7,152,586)	885,742	0	(9,188)		10,959,906	20,455,758	186.6%	(9,495,852)	2,295,523	(7,200,328)
Kern	13,253,800	872,666	674,452	0	(7,633)		14,793,285	14,489,349	97.9%	303,936	-	303,936
Kings	2,741,141	(505,043)	131,102	0			2,367,199	2,773,785	117.2%	(406,586)	98,288	(308,298)
Los Angeles	208,288,419	3,921,807	10,804,091	0	(113,872)	500,000	223,400,444	217,065,651	97.2%	6,334,794	-	6,334,794
Madera	2,662,770	(250,908)	126,197	0			2,538,059	3,689,321	145.4%	(1,151,262)	278,306	(872,956)
Merced	4,533,393	355,026	215,605	0			5,104,024	4,374,425	85.7%	729,598	-	729,598
Monterey	5,688,920	(3,928,728)	261,232	0			2,021,424	6,564,708	324.8%	(4,543,284)	1,098,292	(3,444,993)
Orange	62,442,052	(6,937,774)	3,240,477	0	(34,119)		58,710,637	84,665,188	144.2%	(25,954,551)	6,274,243	(19,680,308)
Riverside <sup>7</sup>	37,694,346	3,818,998	1,908,754	0	(19,540)		43,402,558 <sup>7</sup>	34,157,386	78.7%	9,245,173	-	9,245,173
Sacramento (SACOG) <sup>6</sup>	39,023,163	(23,730,964)	2,005,235	0	(20,654)		16,376,779 <sup>6</sup>	45,221,495	276.1%	(28,844,715)	6,972,910	(21,871,805)
San Benito	757,616	20,827	34,812	0			813,254	611,264	75.2%	201,990	-	201,990
San Bernardino <sup>7</sup>	39,600,635	4,096,868	2,021,601	0	(20,923)		45,698,180 <sup>7</sup>	53,290,254	116.6%	(7,592,074)	1,835,305	(5,756,769)
San Diego	53,680,190	(17,429,613)	2,782,530	0	(29,382)		39,003,726	80,114,506	205.4%	(41,110,780)	9,938,104	(31,172,677)
S.F. Bay Area (MTC)	128,301,819	(47,109,537)	6,644,494	0	(69,899)		87,766,877	109,657,615	124.9%	(21,890,737)	5,291,858	(16,598,879)
San Joaquin	12,611,458	(13,447,972)	645,727	0	(6,646)		(197,432)	9,110,042	N/A	(9,307,474)	2,249,985	(7,057,489)
San Luis Obispo	2,867,152	(513,977)	123,996	0			2,477,172	2,867,152	115.7%	(389,980)	94,274	(295,707)
Santa Barbara	5,630,344	(301,016)	258,400	0			5,587,728	5,911,576	105.8%	(323,847)	78,287	(245,560)
Santa Cruz	3,585,902	(387,201)	164,467	0			3,363,169	3,720,369	110.6%	(357,200)	86,349	(270,850)
Stanislaus	9,775,650	749,872	502,822	0	(5,233)		11,023,111	9,839,085	89.3%	1,184,026	-	1,184,026
Tahoe	1,028,839	998,736	50,274	0			2,077,849	392,240	18.9%	1,685,609	-	1,685,609
Tulare	7,820,525	(4,000,795)	370,944	0			4,190,675	8,046,741	192.0%	(3,856,067)	932,164	(2,923,903)
Ventura	13,868,853	(7,878,236)	719,237	0	(7,487)		6,702,367	18,438,182	275.1%	(11,735,816)	2,837,011	(8,898,804)
Amador <sup>8</sup>	682,418	250,749	32,247	0			965,414	868,747	90.0%	96,667	-	96,667
Calaveras <sup>8</sup>	806,426	304,809	38,115	0			1,149,350	471,355	41.0%	677,995	-	677,995
Imperial <sup>6 and 8</sup>	2,549,400	(1,701,960)	130,397	0	(961)		1,876,877 <sup>6</sup>	2,919,251	155.5%	(1,042,375)	251,983	(790,391)
Mariposa <sup>8</sup>	327,803	119,230	15,559	0			462,592	199,100	43.0%	263,492	-	263,492
Nevada <sup>8</sup>	1,770,927	651,932	83,954	0			2,506,813	2,679,691	106.9%	(172,878)	41,792	(131,087)
Tuolumne <sup>8</sup>	1,056,976	377,193	49,380	0			1,483,549	633,460	42.7%	850,089	-	850,089
Rural Counties <sup>8</sup>	11,613,769	565,035	494,379	0	-	-	12,673,183	11,491,009	90.7%	1,182,174	-	1,182,174
TOTAL LOCAL AGENCIES	696,105,497	(119,245,920)	35,616,985	0	(345,537)	500,000	612,631,025	757,519,689	123.7%	(144,888,664)	40,654,673	(104,233,991)
HBP	196,713,107	59,393,601	10,065,944	0	(107,849)		266,064,802	256,655,636	96.5%	9,409,166	4,572,913 <sup>9</sup>	13,982,080
Safety	56,254,262	30,298,408	2,948,943	(2,390,693)	(46,614)		87,064,307	42,235,403	48.5%	44,828,904	-	44,828,904
SR2S	13,010,959	10,518,807	682,428	0			24,212,195	215,000	0.9%	23,997,195	-	23,997,195
Rail	11,345,540	21,425,797	685,700	0			33,457,037	11,131,419	33.3%	22,325,618	-	22,325,618
FFY 2008 OA Adjustment <sup>11</sup>												(800,994) <sup>11</sup>
TOTAL	973,429,366	2,390,693	50,000,000	(2,390,693)	(500,000)	500,000	1,023,429,367	1,067,757,147	104.3%	(44,327,781)	45,227,586	98,812 <sup>12</sup>
FFY 2006 Formula OA Obligations YTD (09/30/06) (Total)	868,024,396	(165,669,840)	50,000,000				726,301,545	723,910,853	99.7%	2,390,693		

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\*\* July 2007 OA Delivery Report includes \$27,000,000 Exchange of Safety funds for state funds for the Safe Routes to School Program.

**Assumptions:**

< Obligations are based on CBARS/FMIS transactions and adjustments per the Department's Federal Resources Office (FRO).

< Obligations include obligations, deobligations, exchanges, FTAs.

**Footnotes:**

- <sup>1</sup> Actual FFY 2007 OA of \$973,429,366 based on FRO Report dated 10/22/07 (based on March 19, 2007 FHWA Notice [N4520.191]). The OA Spread to Regions is based on percentages from Actual FFY 2007 apportionments (received from Programming) with adjustments made for the RSTP Exchange.
- <sup>2</sup> Balance of FFY 2006 OA -- This is the amount a Region must pay back if over delivered or the amount a Region will receive to "make whole" if under delivered based on the DLA OA Management Policy. Amounts shown in parenthesis are amounts owed back and positive (non-parenthesis numbers) amounts are amounts owed to those Regions/Programs. When DLA, as a whole, under delivers the OA in an FFY and this under delivered OA is "lost" to the State because of DLA under delivery -- this "lost" OA may not be repaid to DLA.
- <sup>3</sup> The \$50,000,000 payback from State to DLA based on Estimated FFY 2007 apportionments (received from Programming). State to repay DLA \$200,000,000 over four years [\$50 M each for FFY '05, '06, '07, and '08].
- <sup>4</sup> This is Actual FFY 2007 OA with the adjustments for FFY 2006 OA Over or Under Delivery; \$50,000,000 OA payback for FFY 2007; and National Environmental Policy Act (NEPA) delegation.
- <sup>5</sup> This is the balance of FFY 2007 OA (Over/Under Delivery Amount) prior to FFY 2006-07 August Redistribution of OA.
- <sup>6</sup> Includes increase of \$900,000 of Obligation Authority to IVAG per MOU with SACOG dated July 1, 2005. This is repayment of Loan from FFY 2005-06 that per MOU is to be repaid to IVAG in FFY 06/07. Transfer of apportionments (H240) took place July 25, 2005, however, OA not transferred until November 2005. This final adjustment increases IVAG's OA balance and decreases SACOG's OA balance. The apportionments were transferred with DLA's January AB 1012 and Monthly Activity Reports.
- <sup>7</sup> Per August 1, 2006 MOU from Riverside County Transportation Commission (RCTC) and the San Bernardino Associated Governments (SANBAG), the FFY 2006 OA for these two regions was adjusted in September 2006 to reflect a loan of OA of \$16,000,000. The Loan decreased RCTC's FFY 2006 OA and increased SANBAG's FFY 2006 OA by the \$16,000,000. The August 1, 2006 memo states that the payback of the OA to RCTC from SANBAG is anticipated in FFY 2008-09 or FFY 2009-10.
- <sup>8</sup> The Rural Counties' subtotal above excludes those rural counties receiving CMAQ beginning in FFY 2005-06.
- <sup>9</sup> Local Assistance August Redistribution amount equals \$45,227,586.44 of which \$4,572,913 is distributed to the Highway Bridge Program (HBP) for Project 6003(028) which was held in Head Quarters to allow other projects to go forward. The \$4,572,913 is the amount of August Redistribution HBP would have received if the project was obligated.
- <sup>10</sup> Balance of FFY 2007 OA -- this is the amount a Region must pay back if over delivered or the amount a Region will receive to "make whole" if under delivered based on the DLA OA Management Policy. Amounts shown in Parenthesis are Amounts owed back and positive (non-parenthesis numbers) amounts are amounts owed to those Regions/Programs.
- <sup>11</sup> Based on FFY 2006-07 reconciliation with FRO, this amount is an adjustment to OA that will be made in FFY 2007-08. This amount is an increase in OA to the Locals in FFY 2007-08. This adjustment does not effect Region or Program totals in report, only the Local Assistance total.
- <sup>12</sup> Local's balance of FFY 2006-07 is \$98,812 vs. Federal Resources balance of Local's OA of \$98,761. Difference is \$51. The amount added to Local's FFY 2007-08 OA will be the FRO's amount of \$98,761. This adjustment does not effect Region or Program totals in report, only the Local Assistance total.